



Case Docket No. GNE.250R169  
Date: April 9, 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Botstein, et al.

Appl. No. : 10/033,223

Filed : December 27, 2001

For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING  
THE SAME

Examiner : Unknown

Group Art Unit : 1656

) I hereby certify that this correspondence and all  
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) Arlington, VA 22202, on

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April 9, 2003

(Date)

\_\_\_\_\_  
Sam K. Tahmassebi, Reg. No. 45,151

TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with fourteen (14) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 07-0630.
- (X) Return prepaid postcard.

\_\_\_\_\_  
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GNE.2930R1C9



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant	:	Botstein, et al.	)	Group Art Unit 1656
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		TRANSMEMBRANE	)	
		POLYPEPTIDES AND	)	
		NUCLEIC ACIDS	)	
		ENCODING THE SAME	)	
			)	
Examiner	:	Unknown	)	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

Appl. No. : 10/033,223  
Filed : December 27, 2001

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/9/03

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
GNE.2930R1C9APPLICATION NO.  
10/033,223INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Botstein, et al.FILING DATE  
December 27, 2001GROUP  
1656

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,831,058	11/03/98	Fujiwara et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	2	WO 98 45437	10/15/98	PCT				
	3	WO 00/04140	01/27/00	PCT				
	4	WO 00 58472	10/05/00	PCT				
	5	WO 01/29221	04/26/01	PCT				
	6	WO 01 02568	01/11/01	PCT				

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	7	Database EMBL 'Online! Entry HSA24389, 08/14/96, Hillier, L. et al., "ze74b01.r1 Soares_fetal_heart_NbHH19W Homo sapiens cDNA clone IMAGE: 364681 5', mRNA sequence." Database accession no. AA024389 XP002230500
	8	Database EMBL 'Online! Entry HSA25266, 08/14/96, Hillier, L. et al. "ze74b01.s1 Soares_fetal_heart_NbHH19W Homo sapiens cDNA clone IMAGE:364681 3', mRNA sequence." Database accession no. AA025266 XP002230501
	9	Database EMBL [Online] md78c02.r1 Soares mouse embryo NbME1cDNA clone (06/22/96) Marra et al., "The WashU-HHMI Mouse EST Protect" Database accession no. MM96732 XP002232923
	10	Database EBI 'Online! (08/19/98) Strausberg R. "qa12e07.x1 NCI_CGAP_Brn23 Homo sapiens cDNA clone Image:1686564 3' similar to contains TAR1.b2 MSR1 repetitive element", mRNA sequence." Database accession no. AI088845 XP002226252
	11	Database EMBL [Online] (01/11/99) Hillier, L. et al. "ao87d07.x1 Schiller meningioma Homo sapiens cDNA clone IMAGE:1952845 3' similar to contains element TAR1 repetitive element", mRNA sequence." Database accession no. AI366107 XP002230801
	12	Database EMBL [Online] (01/13/99) Strausberg R. "qz48e01.x1 NCI-CGAP-Kid11 Homo sapiens cDNA clone IMAGE:2030136 3', mRNA sequence." Database accession no. AI373244 XP002230802
	13	Klein, et al. Selection for genes encoding secreted proteins and receptors, Proc. Natl. Acad. Sci. USA 93:7108-7113 (1996)
	14	Tashiro, et al., Signal sequence trap: a cloning strategy for secreted proteins and type 1 membrane proteins, Science 261:600-603 (1993)

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EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.